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INDICATION FORM**

Application Number

Filing Date

First Named Inventor

HON, et al;

Title

Compositions Of Oak Bark Extract, Relate...

Art Unit

Examiner Name

Attorney Docket Number

29290.03

I hereby appoint:

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22465

OR

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Greystone Medical Group, Inc., Dan Winnett, Executive Vice President

Signature

Date

8/25/03

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Greystone Medical Group, Inc.

Application No./Patent No.: Herewith

Filed/issue Date: Herewith

Entitled: Compositions Of Oak Bark Extract, Related Synthetic Compositions, And Method Of Using Same

Greystone Medical Group, Inc., a corporation of Tennessee

(Name of Assignee)

(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: David N. S. Hon To: R. Thomas Stanley
The document was recorded in the United States Patent and Trademark Office at Reel 6387, Frame 0633, or for which a copy thereof is attached.
2. From: R. Thomas Stanley To: H. E. Stanley Pharmaceuticals, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 6811, Frame 0947, or for which a copy thereof is attached.
3. From: H. E. Stanley Pharmaceuticals, Inc. To: R. Max Greenwalt
The document was recorded in the United States Patent and Trademark Office at Reel 7803, Frame 0849, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

- [] Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8/25/03

Date

Telephone number

Dan Winnett

Typed or printed name

Signature

Executive Vice President

Title

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73 (b)

Supplement Sheet

4. From: R. Max Greenwalt To: Greystone Medical Group, Inc.
The document was recorded in the United States Patent &
Trademark Office at Reel 8920, Frame 0708, or for which
a copy thereof is attached.